

## Individual / Joint – Redemption or Withdrawal Form

This form is to apply for a redemption or withdrawal from your Generate Unit Trust Scheme account.  
For help completing this form, please phone **0800 855 322**.

- We will generally process withdrawals on the next business day a withdrawal form has been accepted, using the closing unit price of that day. However, up to 10 days' notice may be required for a large withdrawal.
- There will be no charge to you for making a partial or full withdrawal.
- Payments will only be made to the New Zealand bank account that has been provided with this withdrawal form.

Generate Unit Trust Member Number 

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### Investor 1 Details (Primary account holder) **(Please write in capital letters)**

Title \_\_\_\_\_ First Name \_\_\_\_\_ Surname \_\_\_\_\_

Date of Birth 

D	D	M	M	Y	Y	Y	Y
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Residential Address \_\_\_\_\_

City \_\_\_\_\_ Country \_\_\_\_\_ Postcode \_\_\_\_\_

Home Phone \_\_\_\_\_ Work Phone \_\_\_\_\_

Mobile \_\_\_\_\_ Email \_\_\_\_\_

IRD No. 

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If you don't know your IRD number, please call the IRD on 0800 227 774.

### Investor 2 Details (if Joint account holder) **(Please write in capital letters)**

Title \_\_\_\_\_ First Name \_\_\_\_\_ Surname \_\_\_\_\_

Date of Birth 

D	D	M	M	Y	Y	Y	Y
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Residential Address \_\_\_\_\_

City \_\_\_\_\_ Country \_\_\_\_\_ Postcode \_\_\_\_\_

Home Phone \_\_\_\_\_ Work Phone \_\_\_\_\_

Mobile \_\_\_\_\_ Email \_\_\_\_\_

IRD No. 

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If you don't know your IRD number, please call the IRD on 0800 227 774.

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### Your Withdrawal Options **(Please write in capital letters)**

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Reason for withdrawal (e.g. general living expenses, asset purchase, holiday, alternative investment)

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Please pick one of the following withdrawal options:

- Withdraw all of my savings;
- Withdraw part of my savings (minimum withdrawal of \$500);

**Amount \$** \_\_\_\_\_

Please note, minimum account balance is \$2,000. If the withdrawal takes your balance under this amount, then you will be required to close the account.

### Bank Account Details

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**Please provide us with a New Zealand bank account and proof of these details.**

Account Holder Name (preferably in the same name as your Generate Unit Trust client account)

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Account Number

Bank \_\_\_\_\_ Branch \_\_\_\_\_

### Proof of bank account

Please provide proof of your bank account name and number by supplying any one of the following:

- a pre-coded deposit slip or a copy of a cheque
- a copy of a bank statement dated within the last 12 months
- an over-the-counter printed receipt with a tellers stamp
- an online bank account statement with the name of the bank in the header/footer
- Bank correspondence with the account name and account number, dated within the last 12 months

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### Identity and Proof of Address Documents

#### Electronic Identity Verification

If you agree to Electronic Identity Verification please tick the box below. If you do not agree see instructions for Non Electronic Identity Verification below.

##### Electronic Identity Verification and Proof of Address

Generate can confirm the identity and/or New Zealand address of many of our clients electronically, with their permission. Please note that we use an external third party system not owned by Generate to conduct identity checks in this way. Please submit identity and proof of address documents.

- I confirm that I give Generate authority to check my identity and/or address electronically using the documentation provided.  
(If you select this, there is no requirement to get identity documents verified.)

**Please note, if we are unable to identify you using this method, we will contact you to provide physical documents as per below.**

#### Non Electronic Identity Verification

**If you have opted not to use Electronic Identity Verification or did not pass this system check then you will need to provide the following documentation:**

Please provide a certified photocopy of each document:

- The documents can be verified by a Generate employee or certified by a Trusted Referee as described below.
- These documents cannot be scanned to us (we need the copy that has been physically certified).
- **Please do not send in original versions of your identity documents.**

#### OPTION 1

- Passport; or  
 New Zealand Drivers Licence; or  
 New Zealand Firearms Licence.

#### OPTION 2

- Birth Certificate; or  
 Citizenship Certificate.

**AND** one of the following:

- HANZ 18+ Card; or  
 Tertiary Student Photo ID; or  
 Current International Driving Permit; or  
 NZ Bank Credit Card with photo.

Choose one of the acceptable forms of **proof of address** for applicant's physical address (not a PO Box) by sending us a copy of an invoice, statement, letter or contract in applicant's name, dated within the **last 12 months**, from one of the following sources:

- Utility provider e.g. water, electricity, gas, telecommunications, Sky TV (or other fixed address media provider)  
 New Zealand bank  
 Government or local Government agency e.g. IRD, WINZ, Watercare, Council  
 Companies Office

If you do not have one of the above forms then please provide copy of an invoice, statement, letter or contract in applicant's name, dated within the **last 3 months**, from one of the following sources:

- Insurance company  
 Rental tenancy agreement  
 Non-bank financial institution  
 Electoral roll papers  
 Car registration notification/demand  
 Employer provided accommodation letter

### Verification of your Documents

Have a Trusted Referee certify copies of all ID and proof of address.

##### An employee or agent of Generate can verify documents.

- Certification must be within the last three months.  
 The Trusted Referee cannot be your spouse, partner, relative or living at the same address as you.  
 The Trusted Referee could be: an employee or agent of Generate; JP; Chartered Accountant; Lawyer; Police Officer; Registered Teacher; Registered Doctor or any other person who has legal authority to take statutory declarations in New Zealand.  
 Upon comparing the copy with the original document, the approved person must write on the copy their name, occupation, their signature, the date and the following, "I certify this to be a true copy of the original document and confirm that it represents the identity of [full name of person being identified]"

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### Privacy Act

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Generate Investment Management Limited, Public Trust, any of their authorised agents, and any distributor assisting with the application (each an "Authorised Person") may collect personal information about the unitholder and beneficial owners/person acting on behalf provided in connection with this application or the Scheme. Each Authorised Person will (or through MMC Limited will) hold the information securely and may use the personal information to manage the unitholder's investment in the Scheme, to communicate with the unitholder or to promote other products and services to the unitholder. The unitholder may ask that they be shown the information held about the unitholder, and if any of the information is incorrect, ask for it to be corrected. The addresses for Generate Investment Management Limited, Public Trust and MMC Limited are set out in the Generate Product Disclosure Statement. Under New Zealand law Generate must collect information about your tax residency status. Generate must provide your information (even if you refuse to give information or a declaration) to Inland Revenue, who may share that information with overseas tax authorities under international agreements.

### Declaration

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I solemnly and sincerely declare that:

- I am a member of the Generate Unit Trust Scheme.
- I am applying to withdraw or transfer some or all of my Unit Trust savings from my Generate Unit Trust Scheme account. I agree to release all claims that have been made by me on the Manager and/or Trustee in relation to the Generate Unit Trust Scheme.
- I understand that my withdrawal value will or might fluctuate based on the unit price(s) which applies when the withdrawal is processed and that fees, taxes and expenses may be deducted from my Generate Unit Trust Scheme account.
- The information given in this form is true and correct. I acknowledge that the Manager and the Trustee of the Generate Unit Trust Scheme will rely on information provided in (or in connection with) this form and accordingly agree to indemnify them against and claims, liability, losses, damages, costs and expenses whatsoever which may arise directly or indirectly as a result of any information provided in (or in connection with) this form being untrue or misleading (including omission).
- I understand that the Manager and/or Trustee of the Generate Unit Trust Scheme will not be able to complete its assessment of this application if the information given in this form is incomplete or incorrect.
- I understand the information supplied by me with this application can be used to electronically verify my identity and address (where necessary) and may be disclosed for these purposes to third parties where relevant including a government agency or reliable, independent source.
- I have read the privacy statement in this form.

I make this solemn declaration conscientiously believing the same to be true and by the virtue of the Oaths and Declarations Act 1957.

#### Signature of Applicant

\_\_\_\_\_ Date Signed \_\_\_\_\_

#### Signature of Applicant (if relevant)

\_\_\_\_\_ Date Signed \_\_\_\_\_

### Document Checklist

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Please complete the checklist below and supply all the relevant supporting documents.

- Complete redemption / withdrawal form.
- Provide proof of your bank account (refer to page 2).
- Provide proof of identity by Electronic Identity Verification consent and documents or certified proof of identity (refer to page 3).
- Provide proof of address by Electronic Identity Verification consent and documents or certified proof of address (refer to page 3).

### Where do I send my application to?

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**Email return:** Please scan this application and all supporting documentation and email them to us at [info@generatewealth.co.nz](mailto:info@generatewealth.co.nz) or

**Postal return:** Please send this application and any supporting documentation to: Generate Unit Trust Scheme, PO Box 91609, Victoria Street West, Auckland 1142