

## Application Form

This is an application to invest in the Generate KiwiSaver Scheme (Scheme). For help completing this form, please phone **0800 855 322**.

### Personal Details (Please write in capital letters)

Title \_\_\_\_\_ First Name \_\_\_\_\_ Middle Name \_\_\_\_\_

Surname \_\_\_\_\_ Preferred First Name \_\_\_\_\_

Date of Birth

Mobile \_\_\_\_\_ Daytime Phone \_\_\_\_\_

**Email** (important) \_\_\_\_\_

Residential Address \_\_\_\_\_

Postal Address \_\_\_\_\_

Suburb \_\_\_\_\_ City \_\_\_\_\_ Postcode \_\_\_\_\_

IRD No.

If you don't know your IRD number, please call the IRD on 0800 227 774.

Prescribed Investor Rate  10.5%  17.5%  28%

Please see the Generate website at generatekiwisaver.co.nz/whats-my-pir to determine your PIR rate, if a rate is not selected, the default rate of 28% will apply.

### Employment Details

**If you are employed you must enter your employer's name and address below.**

If you pay tax through PAYE you are considered 'employed' and will be required to contribute for the first 12 months that you are in KiwiSaver.

Employed  Self-employed / Not employed  Under 18

Employer Name: \_\_\_\_\_

Employer's Address: \_\_\_\_\_

### Contribution Details

**If you are employed** (and currently paid through PAYE), please select the amount you wish to contribute. You will need to complete a KS2 form and provide to your payroll to start contributing or to change your contribution rate. Your employer will then deduct this amount from your pay (along with your PAYE tax).

3%\*  4%  6%  8%  10%

\* This is the default rate and will generally be matched by your employer.

**If you are self employed, not employed, under 18 and would like to make regular contributions,** please tell us how much you would like to contribute and how often. **You will have to also complete an attached Direct Debit Authority Form.\*\***

Regular contribution amount \$ \_\_\_\_\_

(minimum \$10 for each contribution)

\*\* See the Generate Direct Debit Authority Form for information on payment days.

**If you would like to make a one-off contribution,** you can set up payment through online banking. Simply search for 'Generate KiwiSaver Scheme' in the "Registered Payee" list. You will be asked to enter details of the person's account you are paying into. Enter 'Surname', 'Initials' and the 'Generate Member Number'.

One off contribution amount \$ \_\_\_\_\_

### Investment Strategy\*

#### Choose your own

You may invest in any one fund or you can choose to invest a percentage in two or three funds. Please see section 3 of the Product Disclosure Statement for more details.

Focused Growth \_\_\_\_\_ %

Growth \_\_\_\_\_ %

Conservative \_\_\_\_\_ %

Total (must add to 100%) \_\_\_\_\_ 100%

#### OR choose a life cycle automatic selection

By selecting a Stepping Stones investment option your KiwiSaver savings will automatically be invested across our three funds based on your age. Please see section 3 of the Product Disclosure Statement for more details.

'Stepping Stones'

OR You cannot choose both life cycle options

'Stepping Stones Growth'

\* If you do not choose an investment strategy your KiwiSaver savings will be allocated to Stepping Stones by default.

### Existing KiwiSaver Scheme Member

Are you a member of another KiwiSaver scheme  Yes  No Name of scheme (if known) \_\_\_\_\_

### Transfers

Transfer from Australian Super or a non-KiwiSaver New Zealand superannuation scheme. We will be in touch to assist you to complete these transfers.

Australian Super  Non-KiwiSaver NZ superannuation scheme

Name of scheme: \_\_\_\_\_

## Application Form

### Verification of Identity (Required for all Signatories)

1. Please attach a **certified\* copy** of one of the following documents that has not expired to your application (please tick):

- Passport (identification pages)  New Zealand driver licence  Firearms licence

Should you not have one of these types of identification you may provide a **certified\* copy** of one of the following (please tick):

- Birth Certificate (New Zealand or foreign)  Certificate of New Zealand or foreign citizenship

AND also provide a **certified\* copy** of one of the following:

- New Zealand bank credit card (with photo)  Tertiary student photo ID  HANZ 18+ card  Current international driving permit

2. You are also required to provide a **certified\* copy** of one of the following (dated within the last 12 months and addressed to you) as **proof of your residential address**:

- Utility bill  Bank statement  Letter from a government agency

\* Please see the Generate website 'Frequently Asked Questions' for information about who can certify copies of your documents.

### Privacy Act

Generate Investment Management Limited, Public Trust, any of their authorised agents, and any distributor assisting with the application (each an "Authorised Person") may collect personal information about the member provided in connection with this application or the Scheme, will (or through MMC Limited will) hold the information securely and may use the personal information to manage the member's investment in the Scheme, to communicate with the member or to promote other products and services to the member. The member may ask that he or she be shown the information held about the member, and if any of the information is incorrect, ask for it to be corrected. The addresses for Generate Investment Management Limited, Public Trust and MMC Limited are set out in the Generate Product Disclosure Statement.

### Declarations and Authorisations

I wish to apply for membership of the Scheme for me, or, where indicated, for my child or dependant. I confirm that I have received, read and understood the current Generate KiwiSaver Scheme Product Disclosure Statement dated 20 September 2019 and agree to be bound by the terms and conditions set out in the Product Disclosure Statement and Trust Deed governing the Scheme. I understand that if a transaction request is invalid or insufficient information is provided, it will not be processed until valid documentation is received. I understand that, if I am a member of another KiwiSaver scheme, my balance in that KiwiSaver scheme will be transferred to the Scheme if my application is accepted. I authorise the manager or supervisor of that KiwiSaver scheme to provide the Manager or Supervisor of the Scheme with personal information about me as necessary to complete the transfer. I understand that neither the Manager nor the Supervisor has represented or implied that any particular fund or investment strategy is appropriate for my particular circumstances. I understand that investments in the Scheme are subject to investment risk and that the value of my investment may rise and fall from time to time. I understand that the distributor through which I joined the Scheme (if applicable) may be remunerated by the Manager for distributing the Scheme. I acknowledge that none of the Manager, the Supervisor and any distributor through which I joined the Scheme will be liable to me for any loss as a consequence of them accepting or acting on instructions from me or an authorised signatory in respect of my membership in the Scheme (and that none of the Manager, the Supervisor, or any other person (including the Crown) guarantees the performance of the Scheme or the repayment of any money payable from the Scheme). I confirm that I meet the eligibility criteria for joining the Scheme as set out on page 6 of the Product Disclosure Statement and that all of the information in this application form is true and correct. I agree to notify the Manager immediately if there is any change in the information given in this application form.

By signing this Application Form I consent to receive all forms of information and communication including account information, confirmation information, newsletters, Scheme annual reports, annual member statements and annual tax certificates by any form of communication including email or other electronic means. I agree, pursuant to the Unsolicited Electronic Messages Act 2007, that the person sending any such message need not include a functional unsubscribe facility in the message. Telephone calls may be recorded for training purposes or to provide security for transactions by the Manager, its related companies or agents.

I confirm that I have read and I accept the "Declarations" in the above section.

**And/Or** if signing on behalf of an applicant under 18, I confirm that I am a legal Parent or Guardian of the applicant. I confirm that I have read and accepted the "Declarations" in the above section on behalf of the applicant. If I am the only person signing as a parent or guardian, I confirm that I am the sole legal Parent or Guardian.

If the applicant is aged 16 or 17 and becoming a KiwiSaver member for the first time, only one parent/guardian is required to sign along with the applicant.

If the applicant is aged 16 or 17 and is already a member of a KiwiSaver scheme; or is married, in a civil union or a de facto relationship, the applicant alone can sign.

If the applicant is aged 15 and under and becoming a KiwiSaver member for the first time, all parents/guardians must sign. If the applicant is aged 15 and under and is already a member of a KiwiSaver scheme, one parent or guardian can sign, provided they have the consent of all of the applicant's other parent(s)/guardian(s).

#### Signature of Applicant

(if 16 years or older)

#### Signature of Parent/Guardian

(if applicant under 18)

#### Signature of Parent/Guardian

(if applicant under 18)

Date

Date

Date

### Where do I send my application to?

**Email return:** Please scan both your signed application form and certified copies of your identity and proof of address and email them to us at applications@generatekiwisaver.co.nz

**Postal return:** Please send us your signed application form and certified copies of your identity and proof of address to:

Generate KiwiSaver Scheme, PO Box 91609, Victoria Street West, Auckland 1142

### Adviser Information (Internal Use Only)

Lead Number

Name of Adviser

Adviser Code

Adviser Group Code

#### Verification of Identity

I verify that the attached documents are true copies of the original documents and that they represent the identity of:

Applicant's Name  Signed  Date of Verification

Parent/Guardian  Signed  Date of Verification

Parent/Guardian  Signed  Date of Verification