

Individual / Joint – Redemption or Withdrawal Form

This form is to apply for a redemption or withdrawal from your Generate Managed Funds account. For help completing this form, please phone **0800 855 322**.

- We will generally process withdrawals on the next business day after a withdrawal request has been accepted, using the closing unit price of that day. However, up to 10 days' notice may be required for a large withdrawal.
- There will be no charge to you for making a partial or full withdrawal.
- Payments will only be made to the New Zealand bank account that has been provided with this withdrawal form.

Generate Managed Funds Investor Number

G	M	F							
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Investor 1 Details (Primary account holder) (Please write in capital letters)

Title _____ First Name _____ Surname _____

Date of Birth

D	D	M	M	Y	Y	Y	Y
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Residential Address _____

City _____ Country _____ Postcode _____

Home Phone _____ Work Phone _____

Mobile _____ Email _____

IRD No.

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If you don't know your IRD number, please call the IRD on 0800 227 774 or visit www.ird.govt.nz/tasks/find-my-ird-number

Investor 2 Details (if Joint account holder) (Please write in capital letters)

Title _____ First Name _____ Surname _____

Date of Birth

D	D	M	M	Y	Y	Y	Y
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Residential Address _____

City _____ Country _____ Postcode _____

Home Phone _____ Work Phone _____

Mobile _____ Email _____

IRD No.

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Generate Managed Funds

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Managed Funds Withdrawal Options **(Please write in capital letters)**

Reason for withdrawal (e.g. general living expenses, asset purchase, holiday, alternative investment)

You may choose to withdraw your savings from any of the funds you are invested in. Please select one of the following withdrawal options:

- Withdraw all of my savings;
- Withdraw part of my savings (minimum withdrawal of \$500);

Please state the dollar amount from each fund you would like to withdraw:

<input type="checkbox"/> Focused Growth	\$
<input type="checkbox"/> Balanced	\$
<input type="checkbox"/> Conservative	\$
Total (minimum \$500)	\$

Please note, minimum account balance is \$1,000. If the withdrawal takes your balance under this amount, then you may be required to close the account.

Bank Account Details

Please provide us with a New Zealand bank account and proof of these details.

Account Holder Name (preferably in the same name as your Generate Managed Funds account)

Account Number

Bank _____ Branch _____

Proof of bank account

Please provide proof of your bank account name and number by supplying any one of the following:

- a pre-coded deposit slip or a copy of a cheque
- a copy of a bank statement dated within the last 12 months
- an over-the-counter printed receipt with a tellers stamp
- an online bank account statement with the name of the bank in the header/footer
- Bank correspondence with the account name and account number, dated within the last 12 months

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Identity and Proof of Address Documents

Electronic Identity Verification

If you agree to Electronic Identity Verification please tick the box below. If you do not agree see instructions for Non Electronic Identity Verification below.

Electronic Identity Verification and Proof of Address

Generate can confirm the identity and/or New Zealand address of many of our clients electronically, with their permission. Please note that we use an external third party system not owned by Generate to conduct identity checks in this way. Please submit identity and proof of address documents.

- I confirm that I give Generate authority to check my identity and/or address electronically using the documentation provided.
(If you select this, there is no requirement to get identity documents verified.)

Please note, if we are unable to identify you using this method, we will contact you to provide physical documents as per below.

Identity and Proof of Address Documents (Non Electronic Identity Verification)

If you have opted not to use Electronic Identity Verification please provide the following documentation

If you did not pass the Electronic Identification Verification check then we will be in contact with you as you will need to provide the following documentation.

Please provide a certified photocopy of each document:

- The documents can be verified by a Generate employee or certified by a Trusted Referee as described below.
- These documents cannot be scanned to us (we need the copy that has been physically certified).
- **Please do not send in original versions of your identity documents.**

OPTION 1

- Passport; or
 New Zealand Firearms Licence.

OPTION 2

- Birth Certificate; or
 New Zealand Drivers Licence; or
 Citizenship Certificate.

AND one of the following:

- Kiwi Access Card; or
 Tertiary Student Photo ID; or
 Current International Driving Permit; or
 NZ Bank Credit Card with photo.

Choose one of the acceptable forms of **proof of address** for applicant's residential address (not a PO Box) by sending us a copy of an invoice, statement, letter or contract in applicant's name, dated within the **last 12 months**, from one of the following sources:

- Utility provider e.g. water, electricity, gas, telecommunications, Sky TV (or other fixed address media provider)
 Government or local Government agency e.g. IRD, benefits statement, Council notice
 NZ Bank correspondence
 Car registration notification/demand
 Non-Generate KiwiSaver correspondence
 Insurance company (car, house, contents)

If you do not have one of the above forms then please provide a copy of an invoice, statement, letter or contract in applicant's name, dated within the **last 3 months**, from one of the following sources:

- Non-bank, non-KiwiSaver financial institution
 Insurance company (health, life)
 Rental tenancy agreement

Certification of your Documents

Provide originals or have an approved person certify copies of all identity documents; and proof of address (which is not required to be certified).

An employee or agent of Generate can verify original documents by sighting the original documents and then making the following statement "I verify that the attached documents are true copies of the original documents and that they represent the identity of [full name of the person being identified]"

- Certification must be within the last three months.
- Any birth certificates that have been issued before 2003 should be certified or verified.
- The approved person cannot be your spouse, partner, relative or living at the same address as you.
- The approved person could be: a JP; Chartered Accountant; Lawyer; Police Officer; Registered Teacher; Registered Doctor or any other person who has legal authority to take statutory declarations in New Zealand.
- Upon comparing the copy with the original document, the approved person must write on the copy their name, occupation, their signature, the date and the following, "I certify this to be a true copy of the original document and confirm that it represents the identity of [full name of person being identified]"

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Privacy Statement

Generate Investment Management Limited (or Generate group companies), Public Trust, any of their authorised agents, and any distributor (each an "Authorised Person") may collect personal information that you provide to us. Generate will (or through MMC New Zealand Limited will) hold the information securely. Your information will be used by Generate and the Supervisor to manage your relationship with Generate and the Supervisor, to provide products and services to you, to comply with any applicable laws, to offer you further products and services that may be of interest to you and for any other use for which you have given authorisation. We may also disclose your personal information for these purposes to our staff members, related companies, our third party service providers and to the Financial Markets Authority or other applicable regulators.

Generate may further use your information to electronically verify your details and your information may also be disclosed for these purposes to third parties where Generate have further contracted to carry out the verification process.

If you contact us or we contact you, we may keep a record of that contact. We may also monitor and record calls you make to us and we make to you. You may request the information held about you, and if any of the information is incorrect, ask for it to be corrected. You can do this by contacting us by email or call us on 0800 855 322.

Declaration

I solemnly and sincerely declare that:

- I am a member of the Generate Managed Funds Scheme.
- I am applying to withdraw or transfer some or all of my Managed Funds savings from my Generate Managed Funds account. I agree to release all claims that have been made by me on the Manager and/or Trustee in relation to the Generate Managed Funds Scheme.
- I understand that my withdrawal value will or might fluctuate based on the unit price(s) which applies when the withdrawal is processed and that fees, taxes and expenses may be deducted from my Generate Managed Funds account.
- The information given in this form is true and correct. I acknowledge that the Manager and the Trustee of the Generate Managed Funds Scheme will rely on information provided in (or in connection with) this form and accordingly agree to indemnify them against and claims, liability, losses, damages, costs and expenses whatsoever which may arise directly or indirectly as a result of any information provided in (or in connection with) this form being untrue or misleading (including omission).
- I understand that the Manager and/or Trustee of the Generate Managed Funds Scheme will not be able to complete its assessment of this application if the information given in this form is incomplete or incorrect.
- I understand the information supplied by me with this application can be used to electronically verify my identity and address (where necessary) and may be disclosed for these purposes to third parties where relevant including a government agency or reliable, independent source.
- I have read the privacy statement in this form.

I make this solemn declaration conscientiously believing the same to be true and by the virtue of the Oaths and Declarations Act 1957.

Signature of Applicant

_____ Date Signed _____

Signature of Applicant (if relevant)

_____ Date Signed _____

Document Checklist

Please complete the checklist below and supply all the relevant supporting documents.

- Complete redemption / withdrawal form.
- Provide proof of your bank account (refer to page 2).
- Provide proof of identity by Electronic Identity Verification consent and documents or certified proof of identity (refer to page 3).
- Provide proof of address by Electronic Identity Verification consent and documents or certified proof of address (refer to page 3).

Where to send this form

Email return: Please scan this completed form and all supporting documentation and email it to us at info@generatewealth.co.nz or

Postal return: Please send this completed form and all supporting documentation to:
Generate Investment Management Limited, PO Box 91609, Victoria Street West, Auckland 1142