

## RFA Advisors Class Advice Investor Confirmation Form

Need help with this form? Call us on 0800 855 322.

### Details

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Name of Investor:

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Street Address:

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Name of Advisor (*Advisor*):

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- I confirm that I have given consent for my Advisor to discuss KiwiSaver with me.
- I confirm that I have had a copy of my Advisor's disclosure statement made available to me.

### Class Advice Confirmation

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- I confirm that I have received a "class advice only" service in relation to the Generate KiwiSaver Scheme (*the Scheme*), which means that I have not received express or implied recommendations or opinions in relation to the Scheme or my choice of Funds which reflect or take into account my personal financial situation or goals. I have evaluated the suitability of the Scheme and my chosen Fund against my personal financial circumstances myself.

Any advice I have received has been limited to the following categories:

- generalised factual information (e.g. the features of KiwiSaver);
- general advice about the broad suitability of a Fund for a predefined class of person that I may fit into;
- general information about the Scheme and each Fund;
- an indication of my risk appetite arising from my use of the fund chooser tool provided by the Manager of the Scheme; and
- responses to questions answered using the Generate FAQs or information which I understand have been provided by the Manager of the Scheme.

- I have been given a copy of the Scheme's Product Disclosure Statement to read to assist me with my assessment.

- I agree that the Advisor has:
- provided information about the Generate KiwiSaver Scheme, the choice of Funds and KiwiSaver generally (without reference to my personal financial situation or goals);
  - not taken into consideration my personal financial situation or goals (income or expenditure, assets, liabilities, and financial goals);
  - not taken into account personalised information that the Advisor may have already known about me or have gathered from the circumstances (other than the fact that I may fit into a predefined class of people e.g. conservative or growth focused investors); and
  - not commented about my home situation or drawn inferences about that situation. However, the Advisor has explained to me that if I am planning to use the first home withdrawal facility or if I retire within the next three years, that I should consider selecting the Conservative Fund.

- If I am already a KiwiSaver scheme member, I am switching schemes by making this application to Generate. I have received advice only on the benefits of the Generate Scheme and have not been provided with a comparison between my old scheme and the Generate Scheme. I have also been advised generically on the potential consequences and process involved when transferring schemes.

### Cancellation Right for Uninvited Direct Sales

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- I confirm that I am applying to join the Scheme on the terms described in the Application Form, that I have received a copy of this document and the Application Form, and that I have been orally advised that I can cancel my application by contacting Generate within 5 working days of the date of this form.  
[Note: If you are sent the copy documents after completing this form, you can cancel within 5 working days of receiving those documents.]

*This right applies only if you are applying to join the Scheme as a result of an "uninvited direct sale" (and this form and the Application Form together will be the "uninvited direct sale agreement") for the purposes of the Fair Trading Act 1986. If you wish to cancel, or you are unsure as to whether the right applies, please contact Generate on 0800 855 322.*

Investor Signature:

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Date: 

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