

## Application Form

This is an application to invest in the Generate KiwiSaver Scheme (Scheme). For help completing this form, please phone **0800 855 322**.

### Personal Details (Please write in capital letters)

Title	First Name	Middle Name
Surname	Preferred First Name	
Date of Birth	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Mobile	Daytime Phone	

**Email** (important)

Residential Address

Postal Address (if different to above)

Suburb	City	Postcode
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IRD No.

If you don't know your IRD number, please call the IRD on 0800 227 774 or visit [www.ird.govt.nz/tasks/find-my-ird-number](http://www.ird.govt.nz/tasks/find-my-ird-number)

Prescribed Investor Rate  10.5%  17.5%  28%

Please see the Generate website at [generatekiwisaver.co.nz/whats-my-pir](http://generatekiwisaver.co.nz/whats-my-pir) to determine your PIR rate, if a rate is not selected, the default rate of 28% will apply.

### Employment Details

If you pay tax through PAYE you are considered 'employed' and will be required to contribute for the first 12 months that you are in KiwiSaver before you are eligible for a savings suspension.  Employed  Self-employed / Not employed  Under 18

### Contribution Details

**If you are employed** (and currently paid through PAYE), please select the amount you wish to contribute. You will need to complete a KS2 form and provide to your payroll to start contributing or to change your contribution rate. Your employer will then deduct this amount from your pay (along with your PAYE tax).

3%\*  4%  6%  8%  10%

\* This is the default rate and will generally be matched by your employer.

**If you are self employed, not employed, under 18 and would like to make regular contributions**, please tell us how much you would like to contribute and how often. **You will have to also complete an attached Direct Debit Authority Form.\*\***

Regular contribution amount \$ \_\_\_\_\_

(minimum \$10 for each contribution)

\*\* See the Generate Direct Debit Authority Form for information on payment days.

**If you would like to make a one-off contribution**, you can set up payment through online banking. Simply search for 'Generate KiwiSaver Scheme' in the "Registered Payee" list. You will be asked to enter details of the person's account you are paying into. Enter 'Surname', 'Initials' and the 'Generate Member Number'.

One off contribution amount \$ \_\_\_\_\_

### Investment Strategy\*

#### Choose your own

You may invest in any one fund or you can choose to invest a percentage in a combination of funds. Please see section 3 of the Product Disclosure Statement for more details.

<input type="checkbox"/> Focused Growth	%
<input type="checkbox"/> Growth	%
<input type="checkbox"/> Balanced	%
<input type="checkbox"/> Moderate	%
<input type="checkbox"/> Conservative	%
<input type="checkbox"/> Defensive	%
Total (must add to 100%)	100 %

#### OR choose a life cycle automatic selection

By selecting a Stepping Stones investment option your KiwiSaver savings will automatically be invested across our six funds based on your age. Please see section 3 of the Product Disclosure Statement for more details.

'Stepping Stones'

OR

You cannot choose both life cycle options

'Stepping Stones Growth'

\* If you do not choose an investment strategy your KiwiSaver savings will be allocated to Stepping Stones by default.

### Existing KiwiSaver Scheme Member

Are you a member of another KiwiSaver scheme  Yes  No Name of scheme (if known) \_\_\_\_\_

### Transfers

Transfer from Australian Super or a non-KiwiSaver New Zealand superannuation scheme. We will be in touch to assist you to complete these transfers.

Australian Super  Non-KiwiSaver NZ superannuation scheme Name of scheme \_\_\_\_\_

# Generate KiwiSaver Scheme

## Application Form

### Verification of Identity (Required for all Signatories)

If you agree to Electronic Identity Verification please tick the box below. If you do not agree please follow the instructions on page 3.

#### Electronic Identity Verification and Proof of Address

Generate can confirm the identity and/or New Zealand address of many of our clients electronically, with their permission. Please note that we use an external third party system not owned by Generate to conduct identity checks in this way.

I confirm that I give Generate authority to check my identity and/or address electronically using the documentation provided.

I have included a copy of my current signed NZ Passport (preferred) or NZ Driver Licence (front & back)

Please note, if we are unable to identify you using this method or you do not consent, you will need to provide certified ID and address documents. Please refer to page 3 for details.

### Privacy Statement

Generate Investment Management Limited (or Generate group companies), Public Trust, any of their authorised agents, and any distributor (each an "Authorised Person") may collect personal information that you provide to us. Generate will (or through MMC New Zealand Limited will) hold the information securely. Your information will be used by Generate and the Supervisor to manage your relationship with Generate and the Supervisor, to provide products and services to you, to comply with any applicable laws, to offer you further products and services that may be of interest to you and for any other use for which you have given authorisation. We may also disclose your personal information for these purposes to our staff members, related companies, our third party service providers and to the Financial Markets Authority or other applicable regulators.

Generate may further use your information to electronically verify your details and your information may also be disclosed for these purposes to third parties where Generate have further contracted to carry out the verification process.

If you contact us or we contact you, we may keep a record of that contact. We may also monitor and record calls you make to us and we make to you. You may request the information held about you, and if any of the information is incorrect, ask for it to be corrected. You can do this by contacting us by email or call us on 0800 855 322.

### Electronic Provision of Information

I/We consent to receiving any communication from Generate or any related affiliates (e.g. Supervisor, Administrator or companies within the Generate group) electronically via Generate's online portal, or at the email address provided in this Application Form or direct to Generate.

### Declarations and Authorisations

I wish to apply for membership of the Scheme for me, or, where indicated, for my child or dependant. I confirm that I have received, read and understood the current Generate KiwiSaver Scheme Product Disclosure Statement dated 16 May 2022 and agree to be bound by the terms and conditions set out in the Product Disclosure Statement and Trust Deed governing the Scheme. I understand that if a transaction request is invalid or insufficient information is provided, it will not be processed until valid documentation is received. I understand that, if I am a member of another KiwiSaver scheme, my balance in that KiwiSaver scheme will be transferred to the Scheme if my application is accepted. I authorise the manager or supervisor of that KiwiSaver scheme to provide the Manager or Supervisor of the Scheme with personal information about me as necessary to complete the transfer. I understand that neither the Manager nor the Supervisor has represented or implied that any particular fund or investment strategy is appropriate for my particular circumstances. I understand that investments in the Scheme are subject to investment risk and that the value of my investment may rise and fall from time to time. I understand that the distributor through which I joined the Scheme (if applicable) may be remunerated by the Manager for distributing the Scheme. I acknowledge that none of the Manager, the Supervisor and any distributor through which I joined the Scheme will be liable to me for any loss as a consequence of them accepting or acting on instructions from me or an authorised signatory in respect of my membership in the Scheme (and that none of the Manager, the Supervisor, or any other person (including the Crown) guarantees the performance of the Scheme or the repayment of any money payable from the Scheme). I confirm that I meet the eligibility criteria for joining the Scheme as set out on page 8 of the Product Disclosure Statement and that all of the information in this application form is true and correct. I agree to notify the Manager immediately if there is any change in the information given in this application form.

By signing this Application Form I consent to receive all forms of information and communication including account information, confirmation information, newsletters, Scheme annual reports, annual member statements and annual tax certificates by any form of communication including email or other electronic means. I agree, pursuant to the Unsolicited Electronic Messages Act 2007, that the person sending any such message need not include a functional unsubscribe facility in the message. Telephone calls may be recorded for training purposes or to provide security for transactions by the Manager, its related companies or agents.

I confirm that I have read and I accept the "Declarations" in the above section.

**And/Or** if signing on behalf of an applicant under 18, I confirm that I am a legal Parent or Guardian of the applicant. I confirm that I have read and accepted the "Declarations" in the above section on behalf of the applicant. If I am the only person signing as a parent or guardian, I confirm that I am the sole legal Parent or Guardian.

If the applicant is aged 16 or 17 and becoming a KiwiSaver member for the first time, only one parent/guardian is required to sign along with the applicant.

If the applicant is aged 16 or 17 and is already a member of a KiwiSaver scheme; or is married, in a civil union or a de facto relationship, the applicant alone can sign.

If the applicant is aged 15 and under and becoming a KiwiSaver member for the first time, all parents/guardians must sign. If the applicant is aged 15 and under and is already a member of a KiwiSaver scheme, one parent or guardian can sign, provided they have the consent of all of the applicant's other parent(s)/guardian(s).

#### Signature of Applicant

(if 16 years or older)

#### Signature of Parent/Guardian

(if applicant under 18)

#### Signature of Parent/Guardian

(if applicant under 18)

Date

Date

Date

### Adviser Information (Internal Use Only)

Opportunity Number

Name of Adviser

Adviser Code

Adviser Group Code

#### Verification of Identity

I verify that the attached documents are true copies of the original documents and that they represent the identity of:

Applicant's Name	Signed	Date of Verification
Parent/Guardian	Signed	Date of Verification
Parent/Guardian	Signed	Date of Verification

## Application Form

### Non Electronic Verification of Identity

If you have opted not to use Electronic Identity Verification, you will need to provide the following documentation to complete your application.

### Identity and Proof of Address Documents

OPTION 1	OPTION 2
<input type="checkbox"/> Passport; or <input type="checkbox"/> New Zealand Driver Licence ; or <input type="checkbox"/> New Zealand Firearms Licence	<input type="checkbox"/> Birth Certificate; or <input type="checkbox"/> Citizenship Certificate

**AND** one of the following:  
 Kiwi Access Card (18+); or  
 Tertiary Student Photo ID; or  
 Current International Driving Permit and a driver licence from another country

Choose one of the acceptable forms of **proof of address** for applicant's residential address (not a PO Box) by sending us a copy of an invoice, statement, letter or contract in applicant's name, dated within the **last 12 months**, from one of the following sources:

<input type="checkbox"/> Utility provider e.g. water, electricity, gas, telecommunications, Sky TV (or other fixed address media provider)	
<input type="checkbox"/> Government or local Government agency e.g. IRD, benefits statement, Council notice	
<input type="checkbox"/> NZ Bank correspondence	<input type="checkbox"/> Car registration notification/demand
<input type="checkbox"/> Non-Generate KiwiSaver correspondence	<input type="checkbox"/> Insurance company (car, house, contents)

If you do not have one of the above forms then please provide a copy of an invoice, statement, letter or contract in applicant's name, dated within the **last 3 months**, from one of the following sources:

<input type="checkbox"/> Non-bank, non-KiwiSaver financial institution	<input type="checkbox"/> Insurance company (health, life)
<input type="checkbox"/> Rental tenancy agreement	

### Certification of your Documents

Provide certified copies of identity documents and proof of address (which is not required to be certified).

**An employee or agent of Generate can verify original documents by sighting the original documents and then making the following statement "I verify that the attached documents are true copies of the original documents and that they represent the identity of [full name of the person being identified]"**

- Certification must be within the last three months.
- Any birth certificates that have been issued before 2003 should be certified or verified.
- The approved person cannot be your spouse, partner, relative or living at the same address as you.
- The approved person could be: a JP; Chartered Accountant; Lawyer; Police Officer; Registered Teacher; Registered Doctor or any other person who has legal authority to take statutory declarations in New Zealand.
- Upon comparing the copy with the original document, the approved person must write on the copy their name, occupation, their signature, the date and the following, "I certify this to be a true copy of the original document and confirm that it represents the identity of [full name of person being identified]"

### Document Checklist

Please complete the checklist below and supply all the relevant supporting documents.

- Completed application form (2 pages).
- Provide proof of identity by Electronic Identity Verification consent (refer to Verification of Identity on page 2), please include a copy of current NZ Passport (preferred) or NZ Driver Licence (front and back).
- If Electronic Identity Verification consent has not been provided, follow Non Electronic Verification of Identity on page 3.

Note: Page 3 of this application form is not required to process your application.

### Where do I send my application to?

**Email return:** Please scan this application and all supporting documentation and email them to us at [info@generatekiwisaver.co.nz](mailto:info@generatekiwisaver.co.nz) or

**Postal return:** Please send this application and any supporting documentation to: Generate KiwiSaver Scheme, PO Box 91609, Victoria Street West, Auckland 1142